



# Guanine formation mechanism from observed interstellar chemical species

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1  
Imagen: [www.nasa.org](http://www.nasa.org)

# **Summary**

**Facts about (bio)molecules in the ISM**

**Methodological background**

**Learnings from Adenine mechanism of Synthesis**

**Results on the Guanine Synthesis**

**Conclusions**

# ~ 150 molecules were observed in the ISM

Table 1 Interstellar molecules<sup>a</sup> (cumulenes and heterocumulenes are shown in bold letters)

## Diatomeric

AlCl, AlF, AlO, CC, CH, CH<sup>+</sup>, CF<sup>+</sup>, CN, CN<sup>-</sup>, CO, CO<sup>+</sup>, CP, CS, CSi, FeO, HCl, HF, H<sub>2</sub>, HN, HO, HS, KCl, LiF, MgH<sup>+</sup>, N<sub>2</sub>, NO, NP, NS, NSi, NaCl, NaI, O<sub>2</sub>, OP, OS, OS<sup>+</sup>, OSi, SH, SSi.

## Triatomic

AlNC, AlOH, C<sub>3</sub>, C<sub>2</sub>H, CH<sub>2</sub>, C<sub>2</sub>O, CO<sub>2</sub>, C<sub>2</sub>P, C<sub>2</sub>S, *cyclo-C<sub>2</sub>Si*, HCN, HCO, HCO<sup>+</sup>, HCP, HCS, HCS<sup>+</sup>, HDO, H<sub>3</sub><sup>+</sup>, HNC, HN<sub>2</sub><sup>+</sup>, HNO, H<sub>2</sub>D<sup>+</sup>, HD<sub>2</sub><sup>+</sup>, H<sub>2</sub>N, H<sub>2</sub>O, H<sub>2</sub>S, HOC<sup>+</sup>, KCN, MgCN, MgNC, NaCN, NaOH, N<sub>2</sub>O, OCN<sup>-</sup>, OCS, O<sub>3</sub>, SO<sub>2</sub>, SiCN, SiNC.

## 4-atomic

CH<sub>2</sub>O, CH<sub>2</sub>S, C<sub>2</sub>H<sub>2</sub>, C<sub>2</sub>HN, CH<sub>2</sub>N, C<sub>3</sub>H, *cycloC<sub>3</sub>H*, C<sub>3</sub>N, C<sub>3</sub>O, C<sub>3</sub>S, C<sub>3</sub>Si, CH<sub>3</sub>, C<sub>4</sub>, HCNH<sup>+</sup>, HCNO, HNCO, HNCS, HSCN, HOCO<sup>+</sup>, H<sub>3</sub>O<sup>+</sup>, H<sub>2</sub>O<sub>2</sub>, NH<sub>3</sub>.

## 5-atomic

CH<sub>4</sub>, CH<sub>2</sub>CN, CH<sub>2</sub>CO, CH<sub>2</sub>NC, CH<sub>2</sub>NH, CH<sub>2</sub>OH<sup>+</sup>, C<sub>3</sub>H<sub>2</sub>, *cycloC<sub>3</sub>H<sub>2</sub>*, HC<sub>2</sub>CN, HC<sub>2</sub>NC, C<sub>3</sub>NH, HC<sub>3</sub>N, C<sub>4</sub>H, C<sub>4</sub>H<sup>+</sup>, C<sub>4</sub>Si, C<sub>5</sub>, HCO<sub>2</sub>H, HCOCN, NH<sub>2</sub>CN, SiH<sub>4</sub>.

## 6-atomic

C<sub>2</sub>H<sub>4</sub>, CH<sub>2</sub>CHO, CH<sub>2</sub>CNH, *cycloC<sub>3</sub>H<sub>2</sub>O*, CH<sub>3</sub>CN, CH<sub>3</sub>NC, CH<sub>3</sub>OH, CH<sub>3</sub>SH, C<sub>3</sub>H<sub>2</sub>N, C<sub>4</sub>H<sub>2</sub>, C<sub>4</sub>HN, C<sub>5</sub>H, C<sub>5</sub>N<sup>-</sup>, HC<sub>2</sub>CNH<sup>+</sup>, HCONH<sub>2</sub>, NH<sub>2</sub>CHO.

## 7-atomic

*cycloC<sub>2</sub>H<sub>4</sub>O*, CH<sub>2</sub>CHCN, CH<sub>2</sub>CHOH, CH<sub>3</sub>CHO, CH<sub>3</sub>NH<sub>2</sub>, CH<sub>3</sub>C<sub>2</sub>H, HC<sub>4</sub>CN, C<sub>6</sub>H, C<sub>6</sub>H<sup>+</sup>.

## 8-atomic

CH<sub>2</sub>OHCHO, CH<sub>2</sub>CHCHO, CH<sub>2</sub>CCHCN, CH<sub>3</sub>CO<sub>2</sub>H, CH<sub>3</sub>C<sub>2</sub>CN, C<sub>6</sub>H<sub>2</sub>, C<sub>7</sub>H, HCO<sub>2</sub>CH<sub>3</sub>, NH<sub>2</sub>CONH<sub>2</sub>, NH<sub>2</sub>CH<sub>2</sub>CN.

## 9-atomic

CH<sub>3</sub>CH<sub>2</sub>CN, CH<sub>3</sub>CH<sub>2</sub>OH, CH<sub>3</sub>CHCH<sub>2</sub>, CH<sub>3</sub>CONH<sub>2</sub>, CH<sub>3</sub>OCH<sub>3</sub>, CH<sub>3</sub>C<sub>4</sub>H, C<sub>7</sub>HN, C<sub>8</sub>H, C<sub>8</sub>H<sup>+</sup>.

## 10 or more atoms

CH<sub>3</sub>CH<sub>2</sub>CHO, CH<sub>3</sub>COCH<sub>3</sub>, CH<sub>3</sub>C<sub>4</sub>CN, HOCH<sub>2</sub>CH<sub>2</sub>OH, CH<sub>3</sub>C<sub>6</sub>H, HC<sub>8</sub>CN, HCO<sub>2</sub>C<sub>2</sub>H<sub>5</sub>, nC<sub>3</sub>H<sub>7</sub>CN, C<sub>6</sub>H<sub>6</sub>, HOCH<sub>2</sub>COCH<sub>2</sub>OH, HC<sub>10</sub>CN, HC<sub>11</sub>N, C<sub>14</sub>H<sub>10</sub>, C<sub>60</sub>, C<sub>70</sub>.

## Deuterated molecules

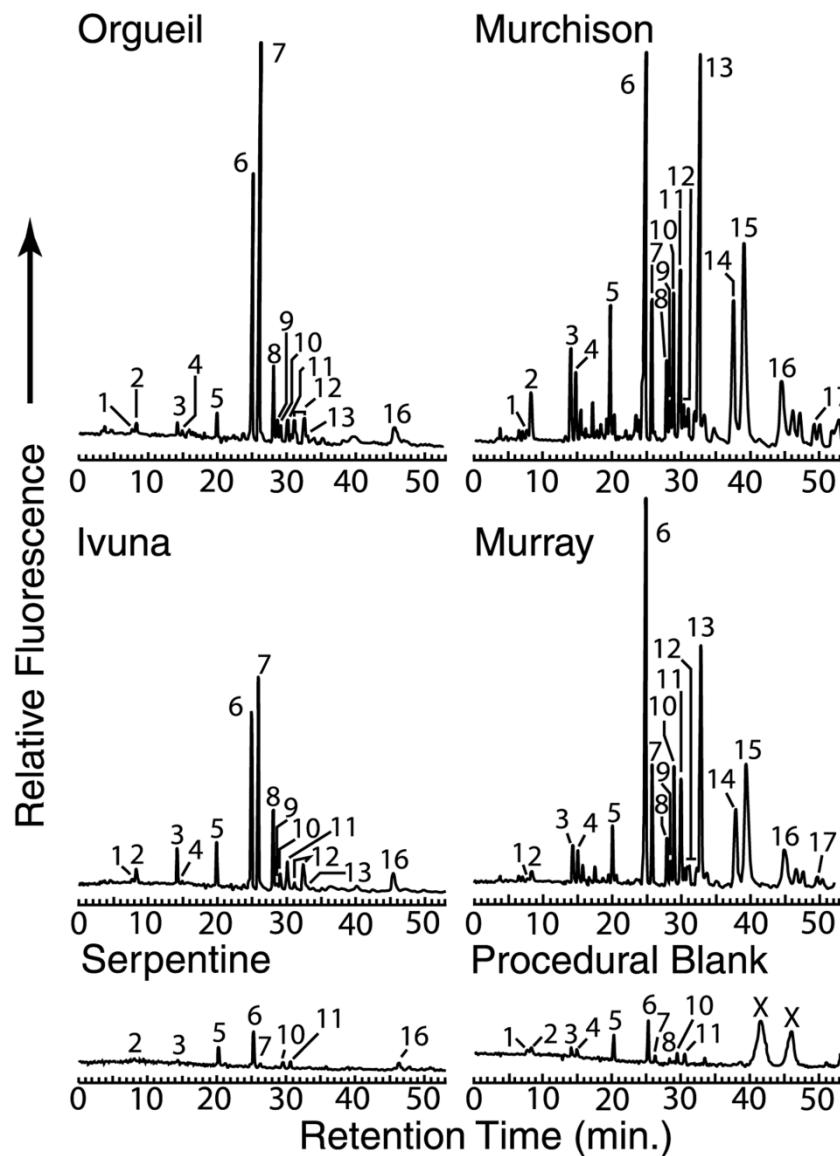
HD, H<sub>2</sub>D<sup>+</sup>, HD<sub>2</sub><sup>+</sup>, HDO, D<sub>2</sub>O, DCN, DCO, DNC, N<sub>2</sub>D<sup>+</sup>, NH<sub>2</sub>D, NHD<sub>2</sub>, ND<sub>3</sub>, HDCO, D<sub>2</sub>CO, CH<sub>2</sub>DC<sub>2</sub>H, CH<sub>3</sub>C<sub>2</sub>D.

## Molecules reported but not confirmed

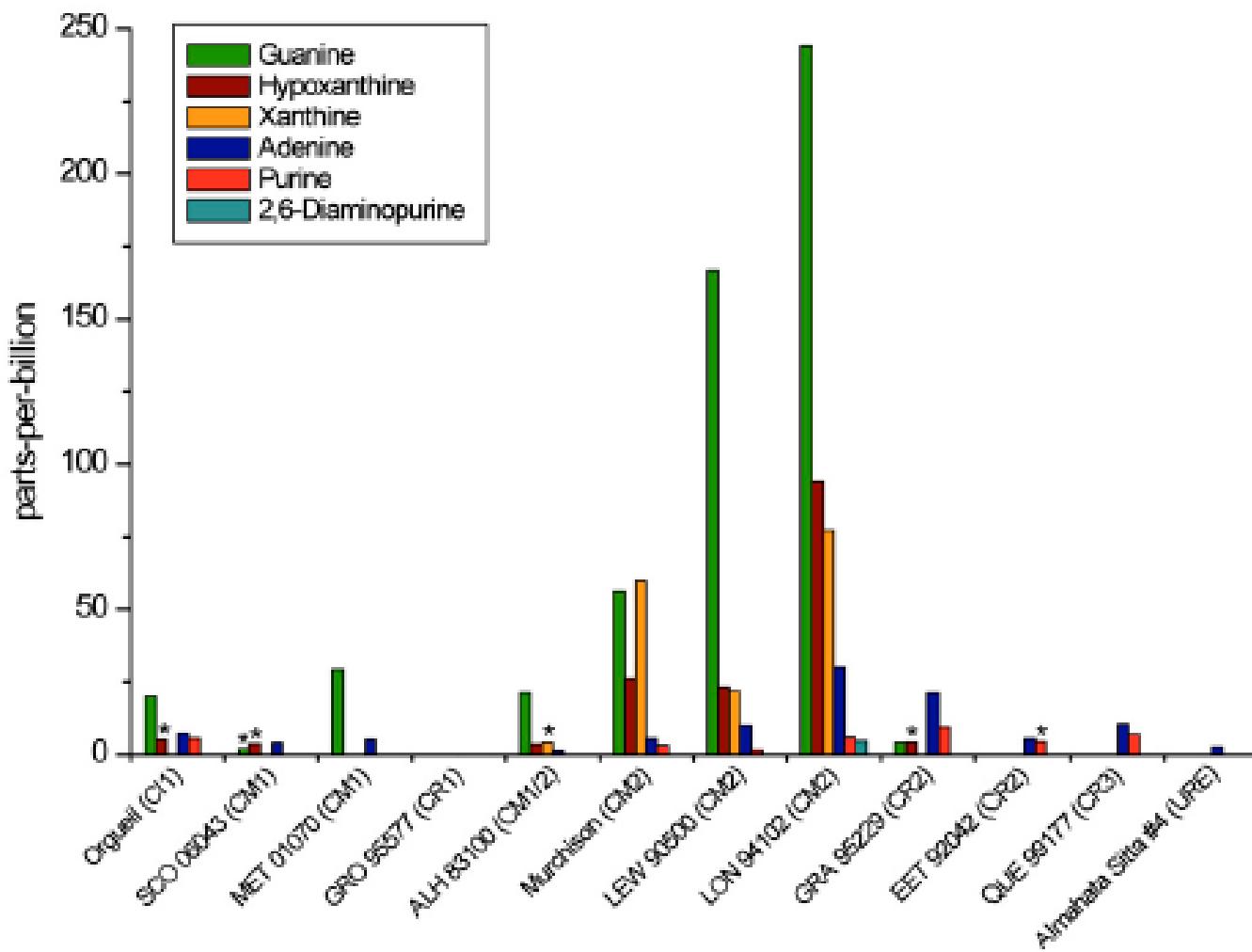
HOCH<sub>2</sub>CO<sub>2</sub>H, CO(CH<sub>2</sub>OH)<sub>2</sub>, C<sub>2</sub>H<sub>5</sub>COCH<sub>3</sub>, C<sub>10</sub>H<sub>8</sub><sup>+</sup>, SiH, PH<sub>3</sub>.

<sup>a</sup> This list was compiled from data listed in: <http://www.astro.uni-koeln.de/cdms/molecules> [http://www.astrochymist.org/astrochymist\\_ism.html](http://www.astrochymist.org/astrochymist_ism.html) [http://en.wikipedia.org/wiki/List\\_of\\_molecules\\_in\\_interstellar\\_space](http://en.wikipedia.org/wiki/List_of_molecules_in_interstellar_space), also ref. 43.

# Chromatogram Samples extracted from Meteors



- 1 D-Aspartic Acid
- 2 L-Aspartic Acid
- 3 L-Glutamic Acid
- 4 D-Glutamic Acid
- 5 D,L-Serine
- 6 Glycine
- 7  $\beta$ -Alanine
- 8  $\gamma$ -Amino-n-butyric Acid (g-ABA)
- 9 D,L- $\beta$ -Aminoisobutyric Acid (b-AIB)
- 10 D-Alanine
- 11 L-Alanine
- 12 D,L- $\beta$ -Amino-n-butyric Acid (b-ABA)
- 13  $\alpha$ -Aminoisobutyric Acid (AIB)
- 14 D,L- $\alpha$ -Amino-n-butyric Acid (a-ABA)
- 15 D,L-Isovaline
- 16 L-Valine
- 17 D-Valine
- X: unknown



**Fig. 1.** Distribution of guanine, hypoxanthine, xanthine, adenine, purine, and 2,6-diaminopurine in 11 carbonaceous chondrites and one ureilite.

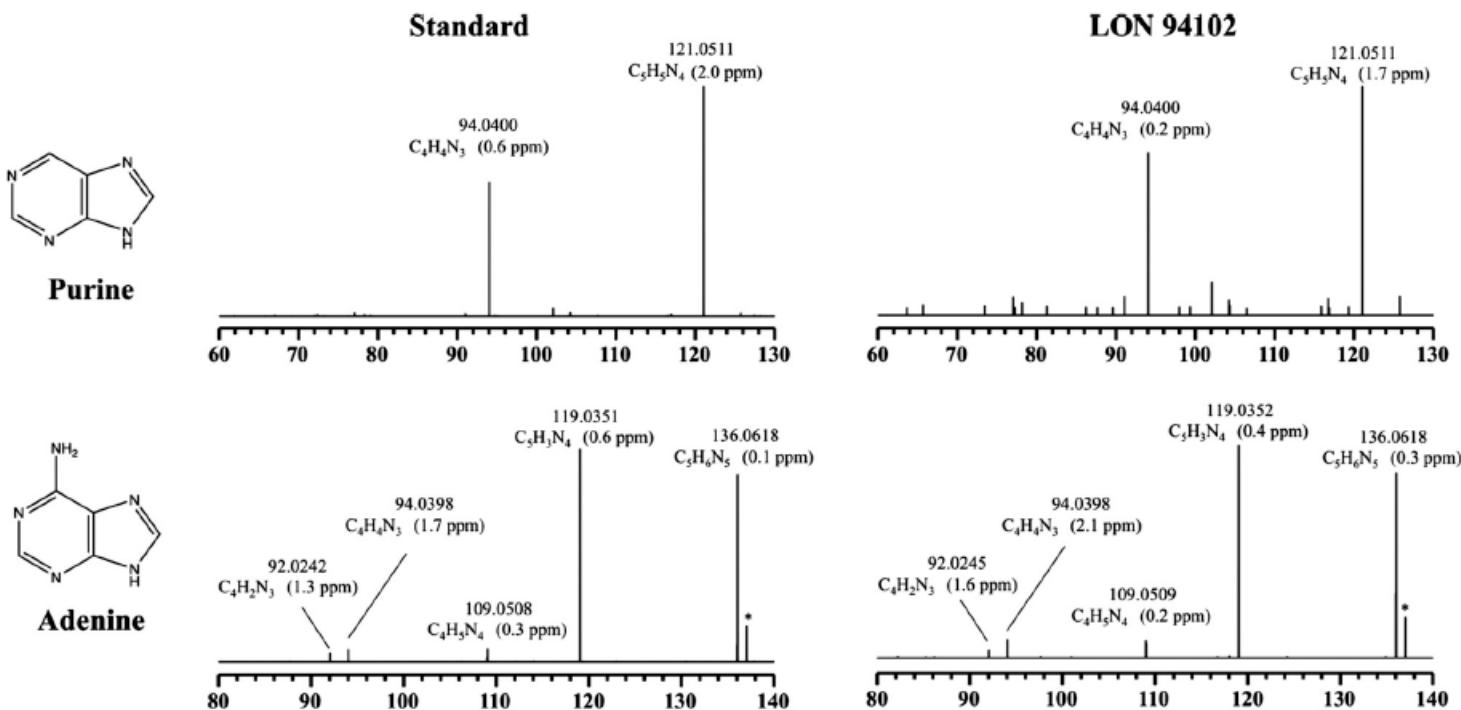


Fig. 2. Mass-selected fragmentation spectra of reference standards (left spectra) and compounds found in the meteorite LON 94102 (right spectra) measured on an LTQ Orbitrap XL hybrid mass spectrometer using an HCD (higher energy collision dissociation) setting of 90 to 100 %. Purine, adenine, 2,6-diaminopurine, and 6,8-diaminopurine were identified using accurate mass measurements on the parent mass and multiple fragment masses and chromatographic retention time. Mass accuracy of less than 5 ppm allows for the unambiguous assignment of elemental formulae. The \* represents inferences in the fragmentation spectra that are present in both the meteorite and reference standard spectra.

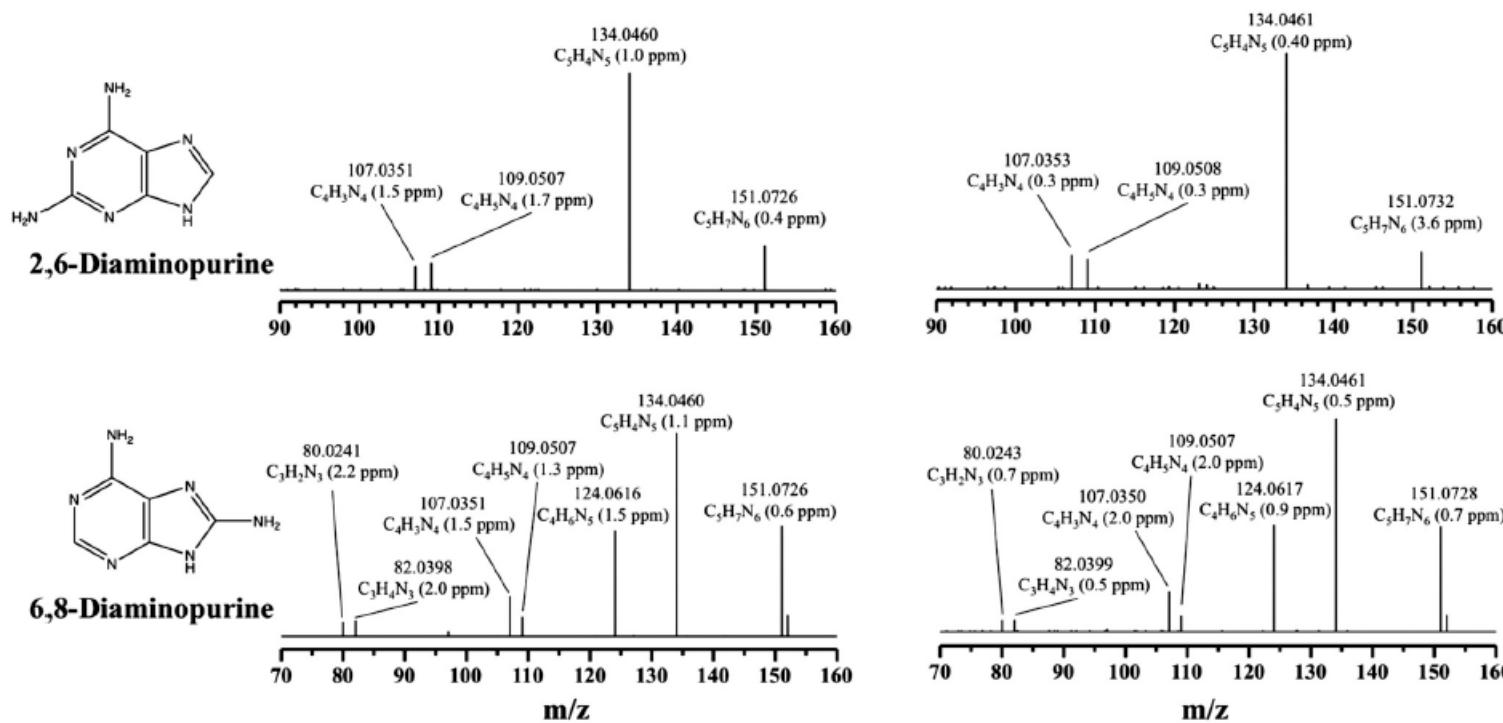
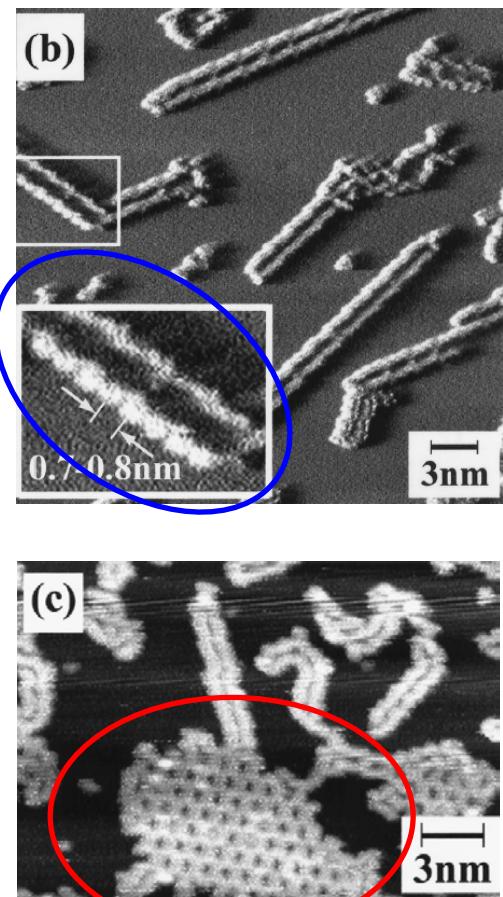
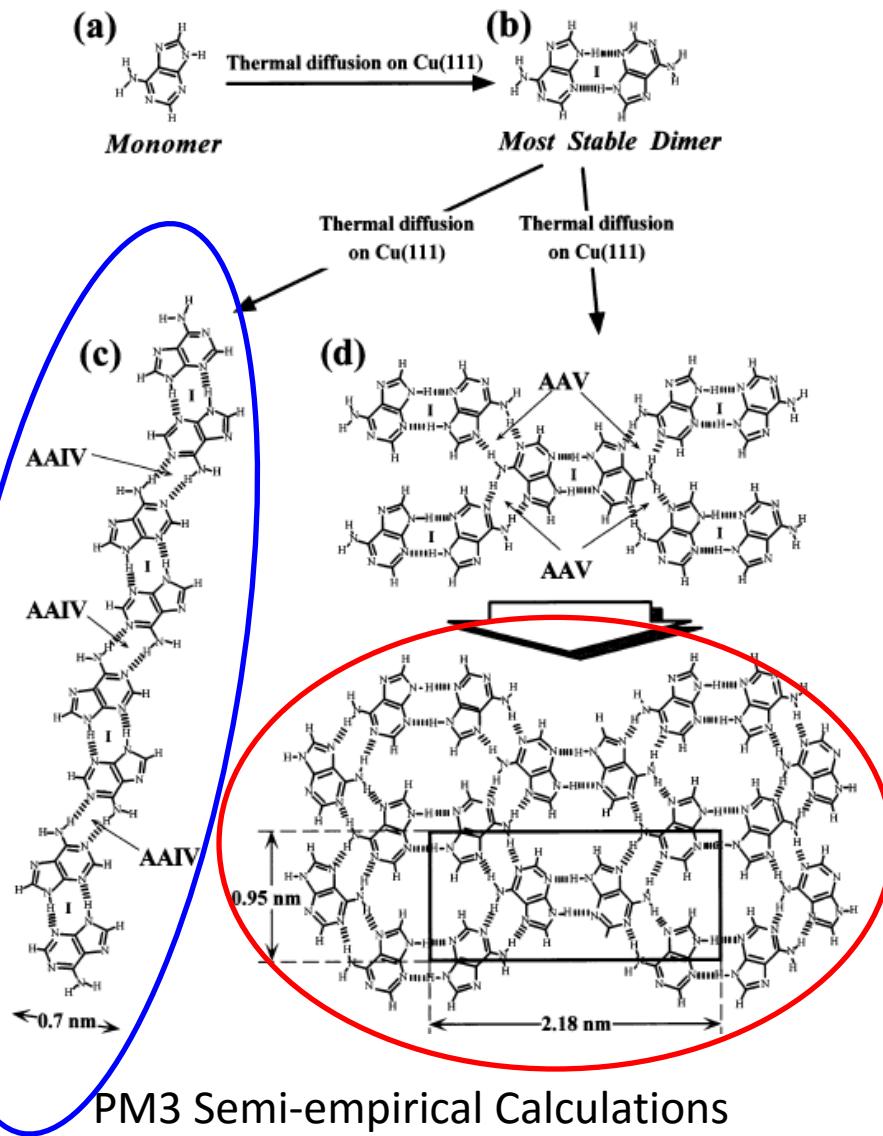


Fig. 2. Mass-selected fragmentation spectra of reference standards (left spectra) and compounds found in the meteorite LON 94102 (right spectra) measured on an LTQ Orbitrap XL hybrid mass spectrometer using an HCD (higher energy collision dissociation) setting of 90 to 100%. Purine, adenine, 2,6-diaminopurine, and 6,8-diaminopurine were identified using accurate mass measurements on the parent mass and multiple fragment masses and chromatographic retention time. Mass accuracy of less than 5 ppm allows for the unambiguous assignment of elemental formulae. The \* represents inferences in the fragmentation spectra that are present in both the meteorite and reference standard spectra.

## Low-dimensional super hydrogen-bond complexes of adenine on Cu(111) surfaces



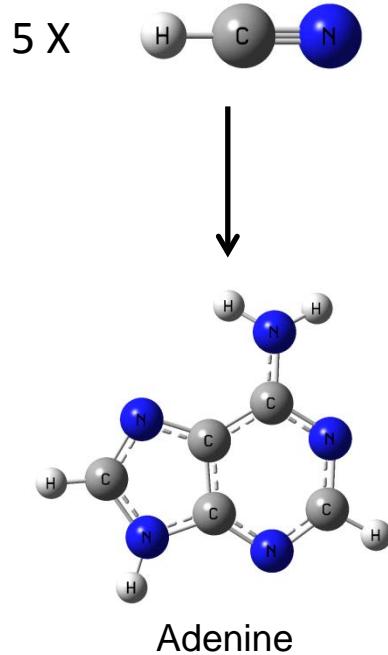
## Scanning Tunneling Microscope (STM)



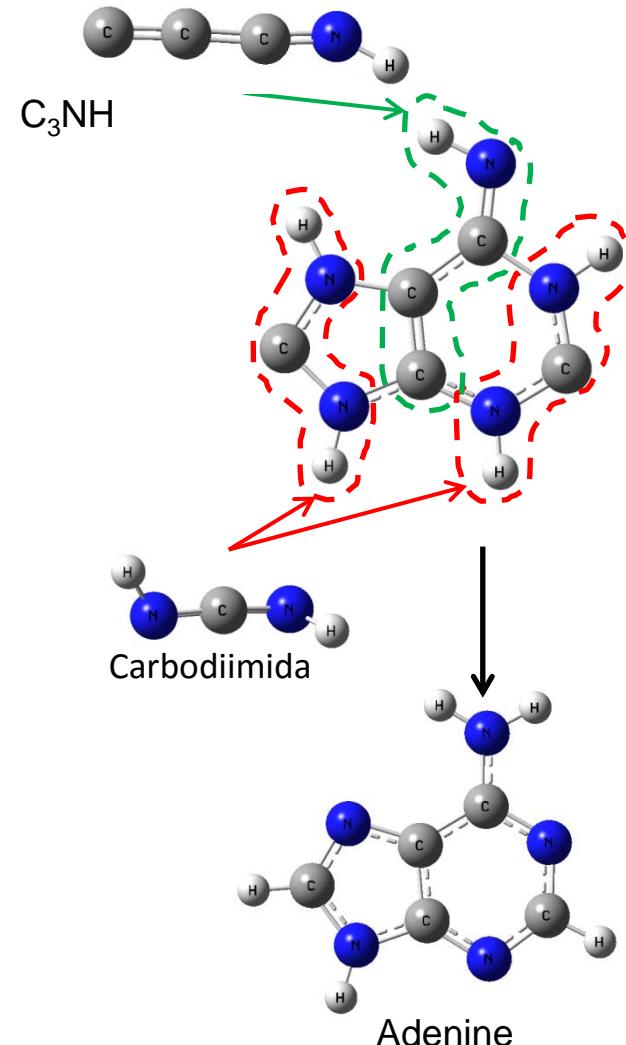
Furukawa, M.; Tanaka, H.; Kawai, T. *Surface Science* 445 1 (2000).

# Mechanisms for the Synthesis of Adenine

D. Roy, K. Najafian, P. von R. Schleyer  
PNAS 104, 17272 (2007).



J.B.P. da Silva, E. C. de Aguiar, K. M. Merz  
*J. Chem. Phys. A* 118, 3637 (2014).



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B3LYP/6-311+G(d,p)

MP2/6-311++G(d,p)

Rate determinant step → 71 kcal mol<sup>-1</sup>

Rate determinant step → 23 kcal mol<sup>-1</sup>

First it forms the 5-member ring,  
then he 6-member ring

First it forms the 6-member ring,  
then he 5-member ring

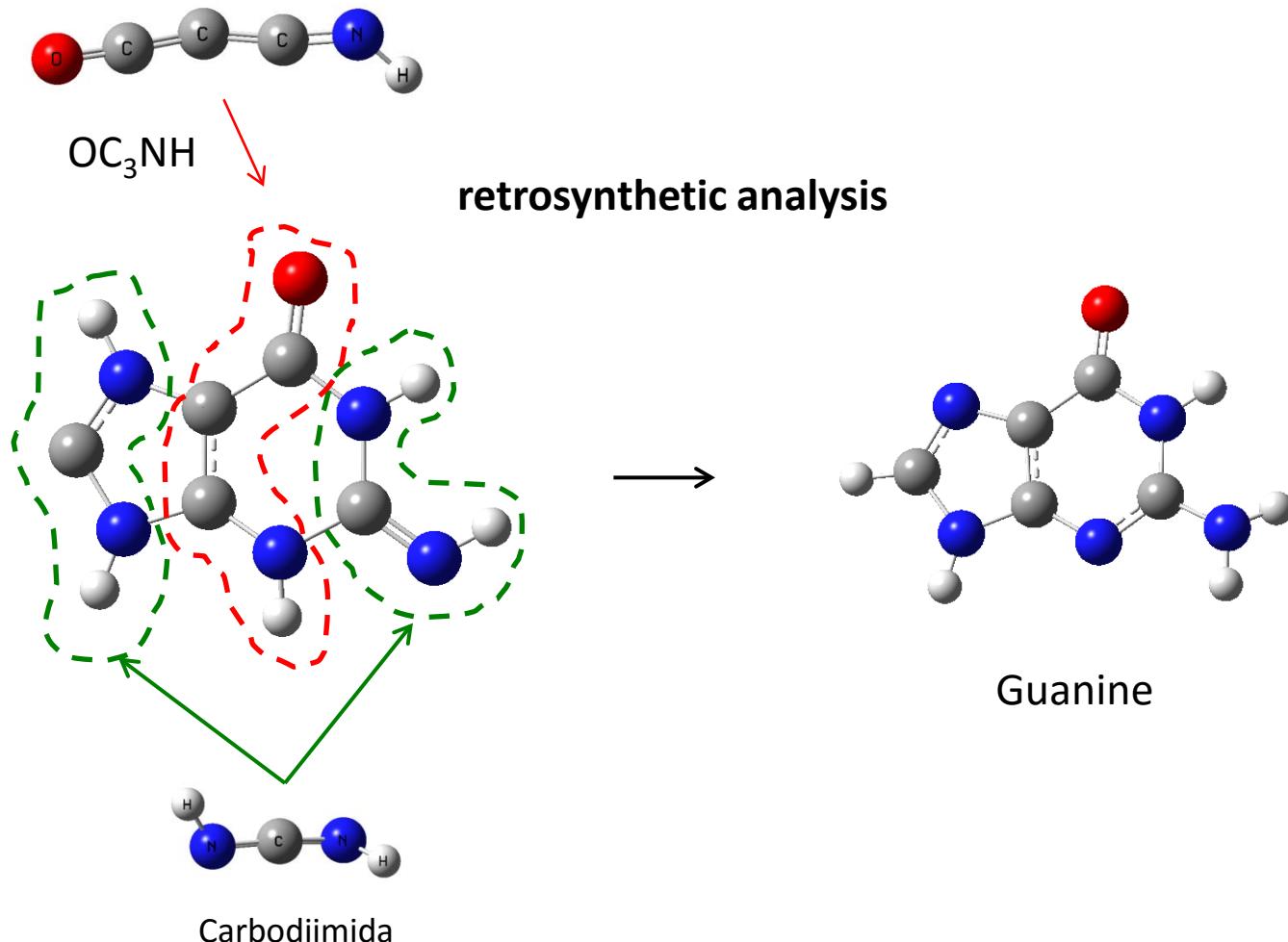
Involves 14 steps

Involves 6 steps

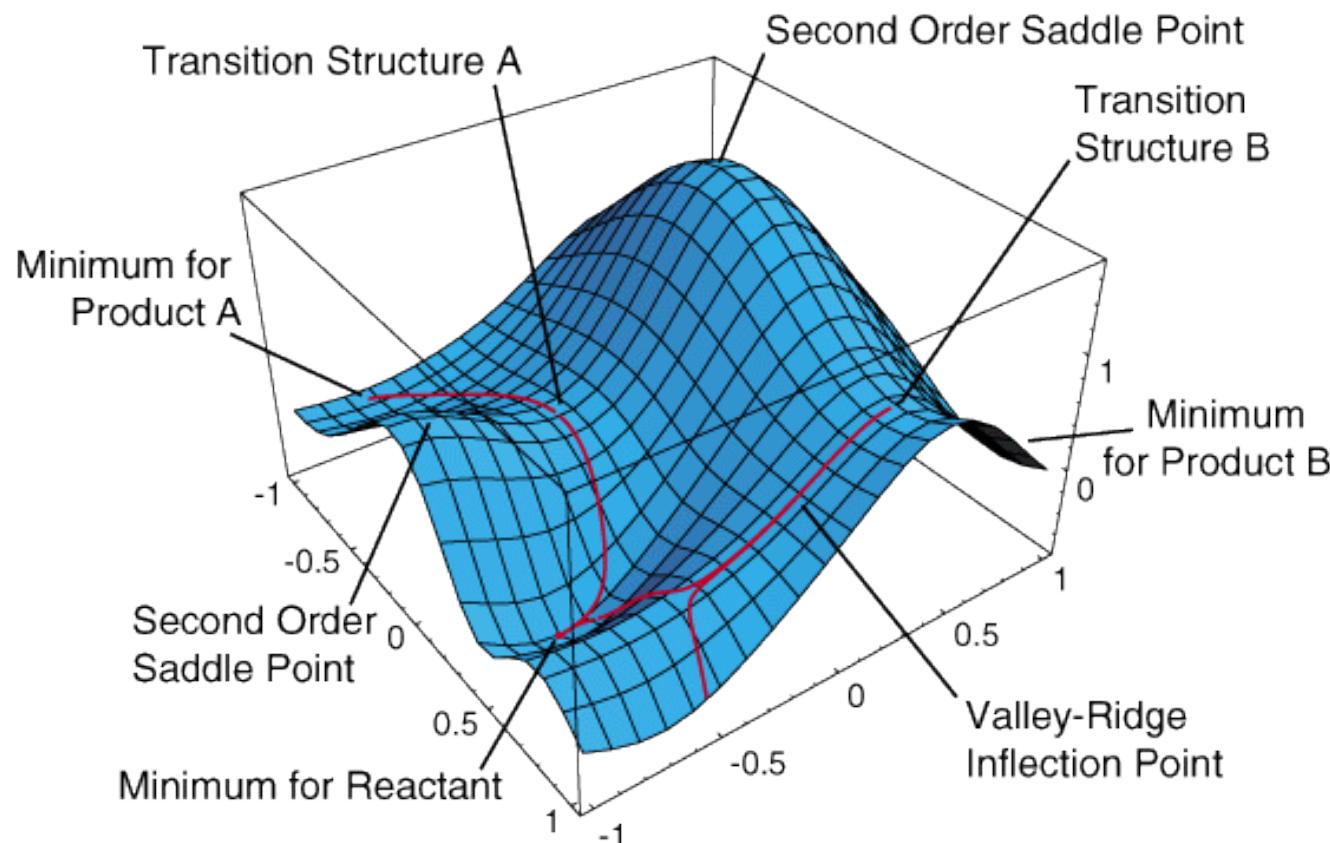
$$\Delta G_R = -53.7 \text{ kcal mol}^{-1}$$

$$\Delta G_R = -142.2 \text{ kcal mol}^{-1}$$

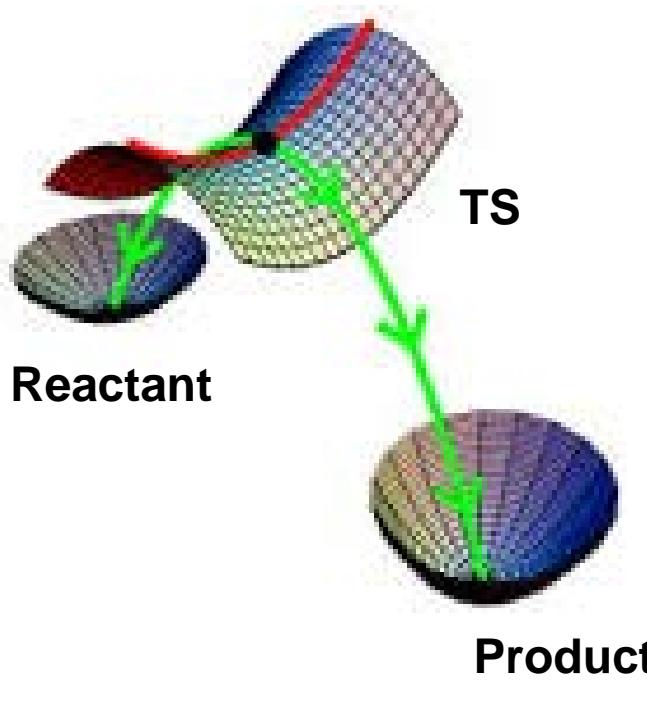
# Mechanism for the Synthesis of Guanine



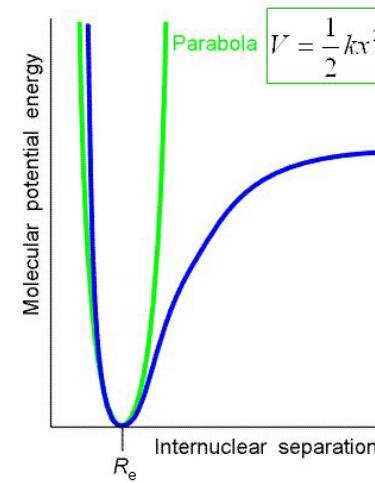
# Potencial Energy Surface (PES)



# Potential Energy Surface (PES)



Harmonic approximation



$$f(x) = \frac{1}{2\pi} \left( \frac{k}{\mu} \right)^{1/2}$$

$$k = \left( \frac{\partial^2 V}{\partial x^2} \right)_0$$

For real reactants and products  $k > 0 \rightarrow f(x)$  is a real number

For saddle point  $k < 0 \rightarrow f(x)$  is a imaginary number

# Methodological background

Target: Solve the time independent Schrödinger equation

$$H\Psi = E\Psi$$

$$H = \sum_{i=1}^n \left( -\frac{\hbar^2}{2m_i} \nabla_i^2 \right) + \sum_{A=1}^N \left( -\frac{\hbar^2}{2M_A} \nabla_A^2 \right) + \sum_{i=1}^n \sum_{A=1}^N \left( -\frac{Ze^2}{r_{iA}} \right) + \sum_{i=1}^n \sum_{j>1}^n \frac{e^2}{r_{ij}} + \sum_{A=1}^N \sum_{B>A}^N \frac{Z_A Z_B e^2}{R_{AB}}$$

$\kappa_{\text{electrons}}$

$\kappa_{\text{nuclei}}$

$v_{\text{el.-nucl.}}$

$v_{\text{el.-el..}}$

$v_{\text{nucl.-nucl.}}$

$\Psi$  – wave function;  $E$  – total energy of the system

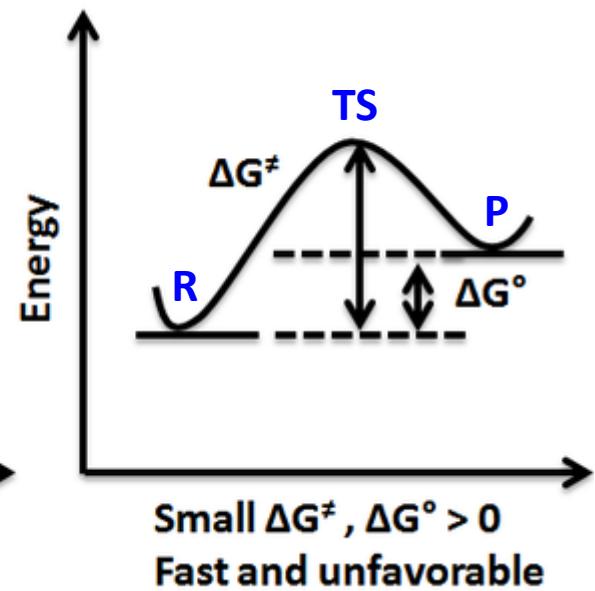
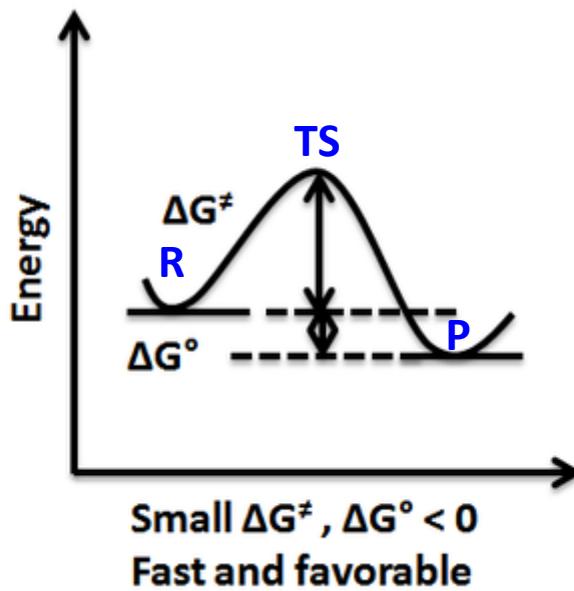
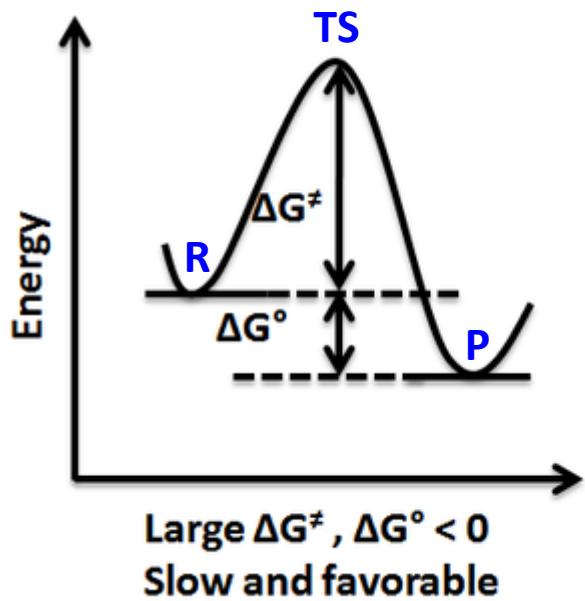
$$H = E + KT$$

$$G = H - TS$$

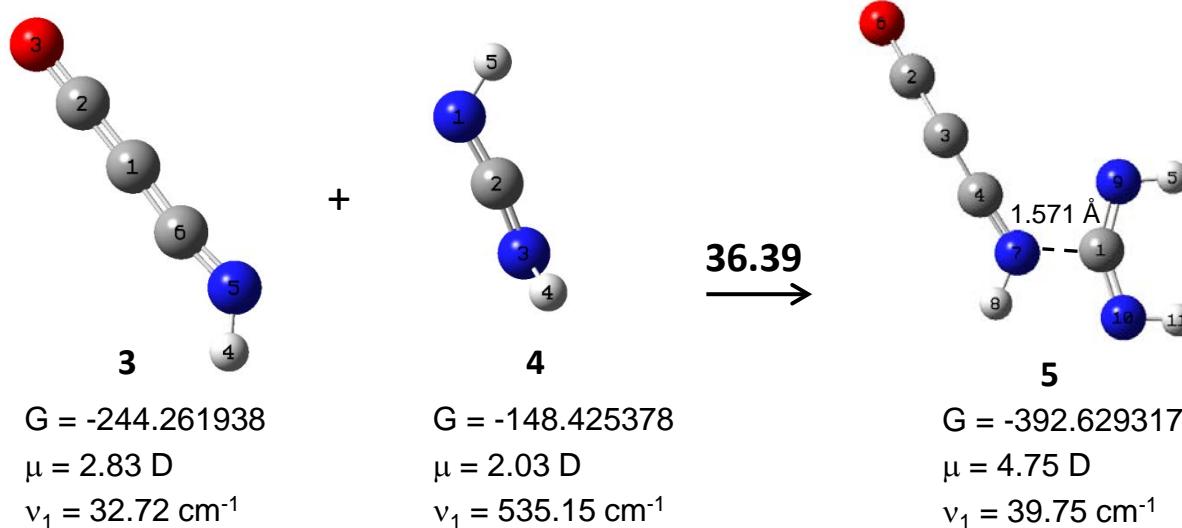
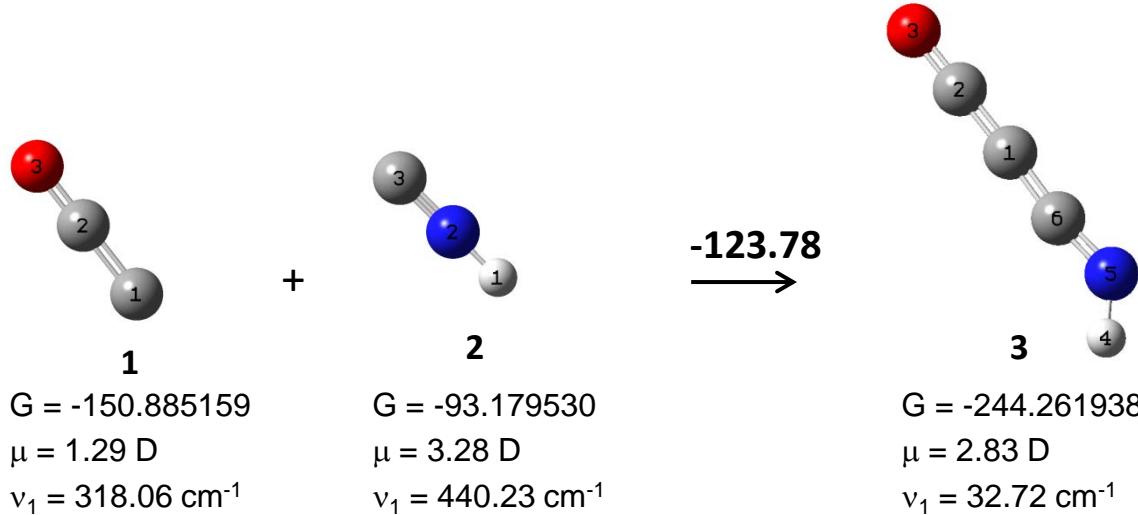
## Computation

G09, MP2/6-311++G(d,p), gas phase, default internal criteria of convergence,  
energy in kcal mol<sup>-1</sup>.  $T = 10 \text{ K}$   $P = 1 \text{ bar}$

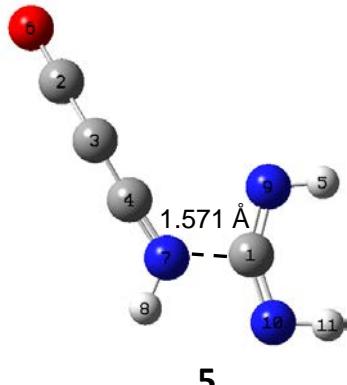
# Methodological background



# MP2/6-311++G(2d,2p)

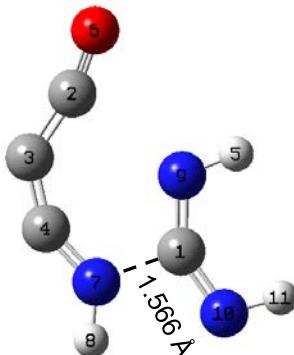


# MP2/6-311++G(2d,2p)



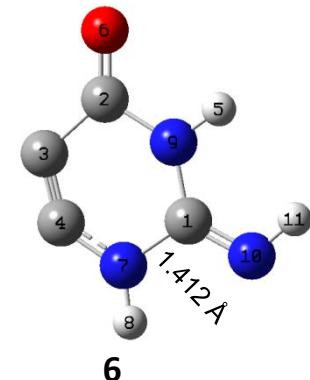
$G = -392.629317$   
 $\mu = 4.75 \text{ D}$   
 $\nu_1 = 39.75 \text{ cm}^{-1}$

**8.92**

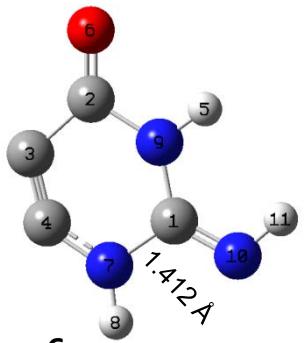


$G = -392.615104$   
 $\mu = 2.69 \text{ D}$   
 $\nu_1 = -276.05 \text{ cm}^{-1}$

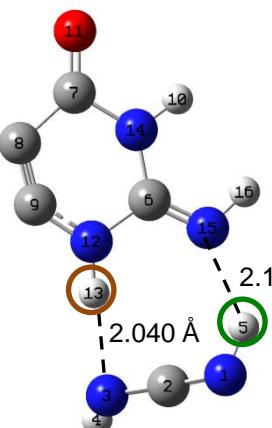
**-26.61**



$G = -392.657509$   
 $\mu = 2.43 \text{ D}$   
 $\nu_1 = 26.09 \text{ cm}^{-1}$



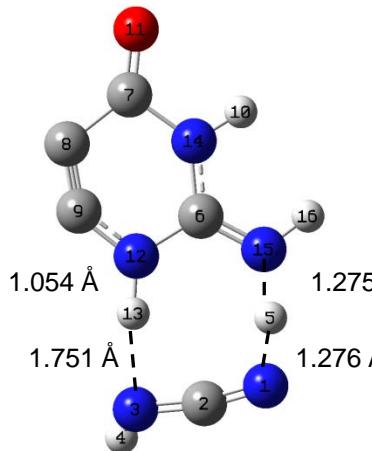
**-8.45**



$G = -541.082887$

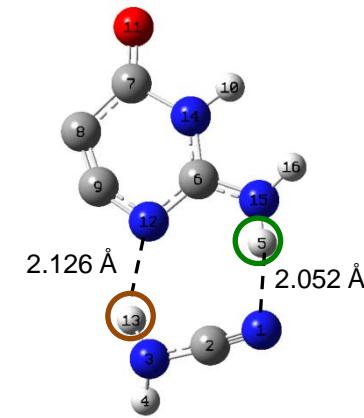
$G = -541.096366$   
 $\mu = 2.93 \text{ D}$   
 $\nu_1 = 27.16 \text{ cm}^{-1}$

**3.16**



$G = -541.091327$   
 $\mu = 2.78 \text{ D}$   
 $\nu_1 = -1069.36 \text{ cm}^{-1}$

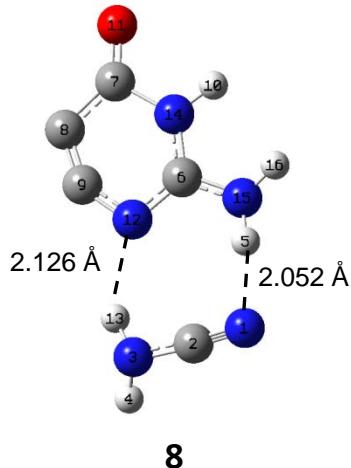
**-21.92**



$G = -541.126260$   
 $\mu = 2.99 \text{ D}$   
 $\nu_1 = 38.73 \text{ cm}^{-1}$

**17**

# MP2/6-311++G(2d,2p)

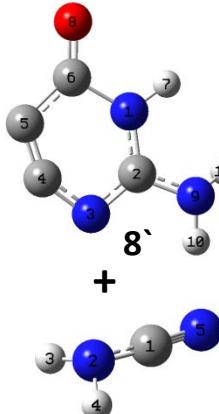


$G = -541.126260$

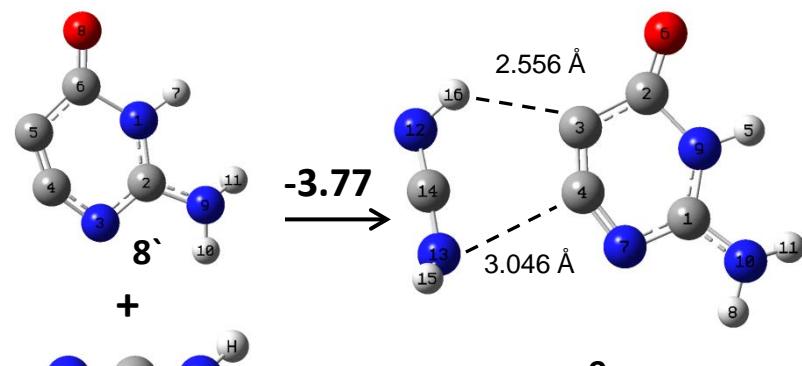
$\mu = 2.99 \text{ D}$

$\nu_1 = 38.73 \text{ cm}^{-1}$

**10.78**



$G = -541.109074$



$G = -541.108340$

$\mu = 6.39 \text{ D}$

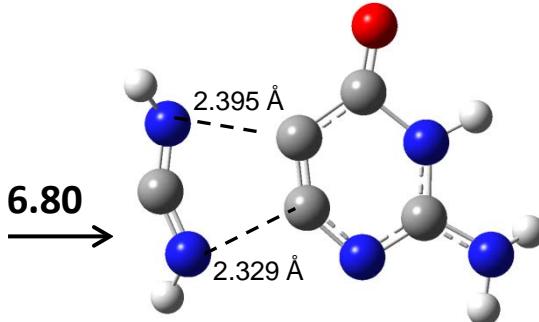
$\nu_1 = 16.96 \text{ cm}^{-1}$

$G = -541.102331$

**-3.77**

**6.80**

**-77.42**



$G = -541.102291$

$\mu = 3.14 \text{ D}$

$\nu_1 = -182.06 \text{ cm}^{-1}$

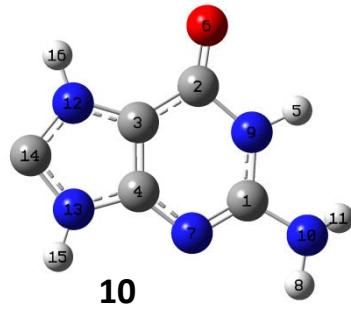
**10**

$G = -541.225662$

$\mu = 5.55 \text{ D}$

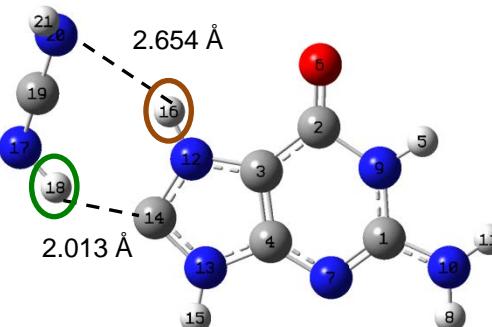
$\nu_1 = 143.27 \text{ cm}^{-1}$

# MP2/6-311++G(2d,2p)



$G = -689.651040$

-8.34



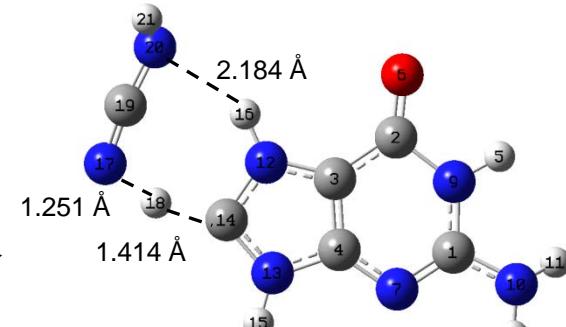
**11**

$G = -689.664337$

$\mu = 7.82 \text{ D}$

$\nu_1 = 27.35 \text{ cm}^{-1}$

1.89

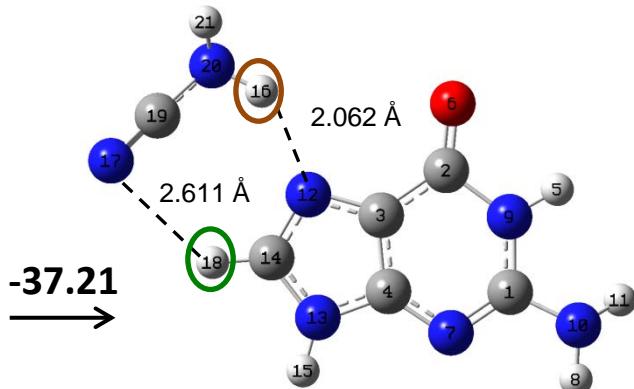


**TS<sub>11,12</sub>**

$G = -689.661326$

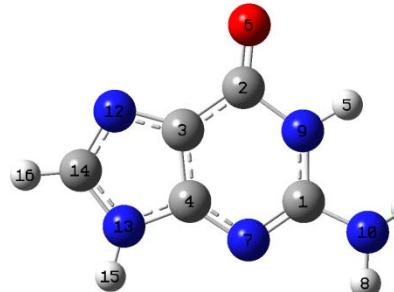
$\mu = 10.65 \text{ D}$

$\nu_1 = -1112.70 \text{ cm}^{-1}$



-37.21

11.32

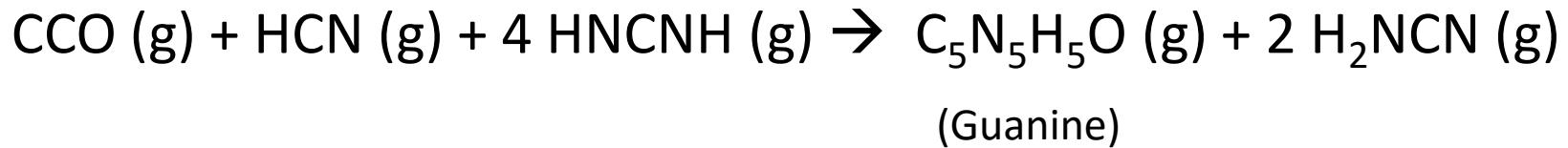


$G = -689.720623$   
 $\mu = 7.36 \text{ D}$   
 $\nu_1 = 22.50 \text{ cm}^{-1}$



$G = -148.432121$   
 $\mu = 4.41 \text{ D}$   
 $\nu_1 = 397.84 \text{ cm}^{-1}$   
 19

## MP2/6-311++G(2d,2p)



$$\Delta_R G^\circ(\text{guanine}) = -228.2 \text{ kcal mol}^{-1}$$

$$\Delta_R G^\circ(\text{adenine}) = -142.2 \text{ kcal mol}^{-1}$$



$$E_a(\text{HNCNH}) = 78.7 \text{ kcal mol}^{-1}$$

# Conclusion

- 1 – A new mechanism for the formation of guanine in gas phase was proposed considering the species observed in the ISM: CCO, HCN and HNCNH.
- 2 – The new mechanism has **6 steps** and involves only bimolecular reactions.
- 3 – HNCNH plays a key rule for H-bond assisted proton transfer process.
- 4 – We propose first the formation of the 6-membered heterocyclic ring and then the formation of the 5-membered ring.
- 5 – The rate determinant step involves a energy barrier *c.a.* 36 kcal mol<sup>-1</sup>.
- 6 – This new mechanism is very exergonic,  $\Delta G_R = -228.24$  kcal mol<sup>-1</sup> .
- 7 – Formation of guanine may be more spontaneous than adenine at the ISM .

# Acknowledgments



da **S**ilva  
**F**oundation